



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

Q76413

131

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02017836.4

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

DEN HAAG, DEN  
THE HAGUE,  
LA HAYE, LE

22/08/02





Eur päisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.:  
Demande n°: 02017836.4

Anmeldetag:  
Date of filing:  
Date de dépôt: 08/08/02

Anmelder:  
Applicant(s):  
Demandeur(s):  
ALCATEL  
75008 Paris  
FRANCE

Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:  
Method of coding a signal using vector quantization

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:  
State:  
Pays:

Tag:  
Date:  
Date:

Aktenzeichen:  
File no.  
Numéro de dépôt:

Internationale Patentklassifikation:  
International Patent classification:  
Classification internationale des brevets:  
/

Am Anmeldetag benannte Vertragsstaaten:  
Contracting states designated at date of filing:  
Etats contractants désignés lors du dépôt:

AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/TR

Bemerkungen:  
Remarks:  
Remarques:



Anmelder:  
ALCATEL  
54, rue La Boétie  
75008 PARIS  
FRANKREICH

01380126

31.07.2002  
SCH/BEH

**Title:     Method of coding a signal using vector quantization**

### **Specification**

The present invention relates to a method of coding a signal, in particular an audio or speech signal, wherein a codebook comprising k code vectors is provided for vector quantization of a signal vector representing a set of signal values of said signal, wherein an optimal code vector of said codebook is determined by performing a codebook search.

The present invention further relates to a processor and a coder/decoder (CODEC), in particular speech and/or audio CODEC.

State-of-the-art speech coding systems employ algorithms based on vector quantization for coding speech and/or audio data that is to be transmitted at very low bit rates. Since these algorithms require a great deal of computational power, systems based thereon, e.g. gateways, transcoders or mobile

switching centers, are very expensive.

Consequently, it is an object of the present invention to propose an improved method of coding a signal which requires less computational power.

This object is achieved by performing said codebook search in parallel

- by dividing said codebook into  $p$  codebook groups,
- by simultaneously determining  $p$  optimal group code vectors each of which corresponds to one of said  $p$  codebook groups, and
- by determining said optimal code vector among said  $p$  optimal group code vectors.

Since modern processors often provide for a plurality of calculation units that can perform additions and/or multiplications within one machine cycle, it is possible to simultaneously execute various steps of said codebook search in parallel. Since codebook search operations corresponding to one code vector often depend on preceding operations, however, simultaneous execution of a plurality of search operations corresponding to a single code vector is possible only to a limited extent.

Therefore it is proposed to subdivide an existing codebook into  $p$  codebook groups, each of which contains e.g.  $1/p$ -th of the number  $k$  of code vectors contained in said codebook.

Though it is not necessary that each codebook group has the same number  $k/p$  of code vectors, this is the preferred embodiment since in this case codebook search takes about the same time for each codebook group.

Further codebook search comprises simultaneously determining  $p$  optimal group code vectors each of which corresponds to one of said  $p$  codebook groups. The calculations necessary for

evaluating the optimal group code vector of one codebook group are independent from calculations conducted within any other codebook group. Hence these calculations can be performed in parallel, wherein a plurality of calculation units is advantageously employed.

After this step,  $p$  optimal group code vectors are obtained. Each group code vector represents the best result of a local codebook search limited to the corresponding codebook group.

Finally, the  $p$  optimal group code vectors are compared to each other so as to find the optimal code vector of the entire codebook. These comparisons can also be performed in parallel.

Since the codebook search is one of the most complex parts within speech CODECs using vector quantization, the parallel codebook search in said codebook groups according to the invention results in a significant performance gain of the overall procedure. For instance, if  $p = 2$  is chosen, the codebook search time is reduced to nearly half the processing time as compared to prior art systems.

According to an advantageous embodiment of the present invention, said step of determining said optimal code vector among said  $p$  optimal group code vectors comprises evaluating an index of each optimal group code vector uniquely identifying each optimal group code vector within said codebook.

Codebook search is conducted in a sequential order within standardized prior art methods. Parallelizing portions of the codebook search can lead to results which are different from those obtained with a standardized method regarding the optimum code vector, i.e. the coding method employing parallelism within codebook search might not have conformity with said standards. Especially, this can be the case if there are different data/number formats and overflow handling routines.

According to the present invention, this problem is solved by evaluating said index of said optimal group code vectors, which is explained in detail below. A comparison of the index values of the optimal group code vectors ensures conformity, which has been proven.

A further embodiment of the present invention is characterized in that the vector quantization is of the shape-gain type, wherein a code vector from said codebook is multiplied by a so-called gain factor prior to further processing.

Yet another advantageous embodiment of the present invention is characterized in that a comparison of code vectors is performed within said codebook search, wherein said comparison is based on a cross multiplication expression

$$C_t * E_{best} >< E_t * C_{best},$$

which is based on fixed point operations and leads exactly to the same result as a standardized serial algorithm, wherein  $C_t$  is a so-called cross term corresponding to a t-th code vector and  $C_{best}$  is the cross term corresponding to a temporarily best code vector, and wherein  $E_t$  is a so-called energy term corresponding to said t-th code vector and  $E_{best}$  is the energy term corresponding to said temporarily best code vector.

In this codebook search, a scalar performance measure for said t-th code vector within said comparison is used, which is defined by the ratio  $C_t/E_t$  of said cross term C and said energy term E, and within said comparison of said codebook search, the optimal code vector having the largest ratio  $C_t/E_t$  is determined.

To simplify and accelerate calculation steps required for comparing the ratio  $C_{best}/E_{best}$  of the temporarily best code vector with the ratio  $C_t/E_t$  of the t-th code vector, the above mentioned cross multiplication expression is used for avoiding division operations.



Said comparison is employed for determining said group code vectors of said codebook groups, and to ensure conformity with standards such as ITU-T G.723.1, ITU-T G.729, GSM enhanced full-rate (EFR), GSM narrowband (NB) AMR and GSM wideband (WB) AMR regarding the optimal code vector, if there are several group code vectors with equal ratios C/E or cross multiplication expressions, respectively, the group code vector having the smallest index is chosen as optimal code vector.

Another very advantageous embodiment of the present invention, wherein said method of coding is based on a code excited linear prediction (CELP-) algorithm comprising a synthesis section, is characterized in that elements of a matrix representing a transfer function of at least one filter of said synthesis section, and/or elements of auto-correlation matrices used within said CELP-algorithm and/or further precalculation and postcalculation steps for a/said comparison of code vectors are generated/evaluated in parallel. This leads to an acceleration of the calculations performed within the CELP-algorithm which is proportional to the degree of parallelism achieved.

Significant savings of execution time can especially be achieved by parallel processing of the elements of said auto-correlation matrices because these must be cyclically recalculated depending on a periodicity of the algorithm.

According to a further advantageous variant of the present invention, said codebook comprises pulse code vectors.

As a further solution to the object of the present invention, a method is proposed, which is characterized in that a processor with configurable hardware and/or with acceleration means specifically designed for said method is used for parallel execution of steps of said method. Using such a processor on the one hand reduces coding overhead when specifying computer programs capable of performing the method

according to the invention, and on the other hand, optimal acceleration of coding steps such as the codebook search and so on is guaranteed.

A further very advantageous embodiment of the present invention is characterized in that said processor provides means for simultaneously accessing a plurality of said signal values located in a memory. For instance, if said signal values of said audio or speech signal to be coded or of said auto-correlation matrices are represented by 16 bit data words, a 64 bit read instruction provided by the processor allows for simultaneously accessing four signal values located in said memory. This is especially advantageous since parallel processing of coding steps of e.g. speech coding often requires a plurality of input data words delivered to calculation units of the processor simultaneously, too.

As a further solution to the object of the present invention, a processor capable of performing the method according to the invention is proposed.

Yet a further solution to the object of the present invention comprises a coder and decoder (CODEC), in particular speech and/or audio signal CODEC, which is capable of performing the method according to the invention.

Further features and advantages of the present invention are explained in more detail below with the aid of the accompanying drawings.

Figure 1 shows a codebook, and

Figure 2 shows a schematic block diagram of an embodiment of the present invention.

Figure 1 shows a codebook CB comprising 1024 code vectors  $c_0$ , ...,  $c_{1023}$  which are uniquely identifiable within said codebook CB via an index ranging from 0 to 1023.

Said code vectors  $c_0, \dots, c_{1023}$  are used within a code excited linear prediction (CELP) coder which is schematically represented in Figure 2.

The CELP coder is based on a so-called "source-filter" speech production model and comprises both a short-term and a long-term synthesis filter (not displayed) modeling the human vocal tract and the glottal excitation, respectively.

These synthesis filters are jointly represented by a synthesis section SYN which receives a code vector from said codebook CB as input. The code vector is multiplied by a scalar value within a multiplier  $g$  (Fig. 2) prior to being processed in said synthesis section SYN.

Within said synthesis section SYN, the code vector is used as excitation sequence to synthesize speech, the synthesized speech signal  $s'$  being available at the output of the synthesis section SYN.

For speech coding, the synthesized speech signal  $s'$  is subtracted from the speech signal  $s$  that is to be coded, which leads to an error signal indicating a difference between the synthesized speech signal  $s'$  and the actual speech signal  $s$ . After filtering said error signal in a perceptual weighting filter  $W$  that reduces information imperceptible to humans, the mean square error is evaluated yielding an error energy  $P_e$ , which characterizes the code vector used as excitation sequence beforehand.

This procedure is conducted for each of the 1024 code vectors of said codebook CB, which finally leads to an optimal code vector that is characterized by having a minimal error energy  $P_{e\_opt}$ . The optimal code vector is found by performing a codebook search.

To accelerate the process of calculating the optimal code vector, the codebook CB is divided into  $p = 2$  codebook groups  $CB_0, CB_1$  as can be seen in Figure 1. In the present case,

codebook group CB\_0 comprises code vectors  $c_0, \dots, c_{511}$ , whereas the second codebook group CB\_1 comprises code vectors  $c_{512}, \dots, c_{1023}$ .

For each of said two codebook groups CB\_0, CB\_1, an optimal group code vector is determined in parallel by simultaneously performing a codebook search in the respective codebook group CB\_0, CB\_1.

A standard codebook search is described in M.R. Schroeder and B. S. Atal, "Code-excited linear prediction (CELP): High quality speech at very low bit rates" in Proc. of ICASSP-85, (Tampa, Florida), p. 937-940, IEEE, April 1985. Advanced variants of said standard codebook search comprise extensive numerical simplifications and state-of-the-art complexity reductions as presented in

- W.B. Kleijn, D.J. Krasinski and R.H. Ketchum, "Analysis and improvement of the vector quantization in SELP" in Proc. of Eusipco-88, (Grenoble, France), p. IV 1043-1046, Eurasip, Elsevier Science Publishers B.V. North-Holland, Sept. 1988,
- L.M. Trancoso and B.S. Atal, "Efficient search procedures for selecting the optimum innovation in stochastic coders", IEEE Transactions on Acoustics, Speech, and Signal Processing, vol. 38, p. 385-396, March 1990,
- W.B. Kleijn, D.J. Krasinski and R.H. Ketchum, "Fast methods of the CELP speech coding algorithm", IEEE Transactions on Acoustics, Speech, and Signal Processing, vol. 38, p. 1330-1342, Aug. 1990
- W.B. Kleijn, D.J. Krasinski and R.H. Ketchum, "Improved speech quality and efficient vector quantization in SELP" in Proc. of ICASSP-88, (New York), p. S4.4, 155-158, IEEE, 1988

- M. Johnson and T. Taniguchi, "On-line and off-line computational reduction techniques using backward filtering in CELP speech coders", IEEE Transactions on Signal Processing, vol. 40, p. 2090-2093, Aug. 1992,
- C. G. Gerlach, "Beiträge zur Optimalität in der codierten Sprachübertragung", Aachener Beiträge zu digitalen Nachrichtensystemen, Band 5, 1996.

Said advanced variants lead to calculations of a so-called cross term  $C_t$  and energy term  $E_t$  for  $t$ -th code vector  $c_t$ . The value of a ratio  $C_t/E_t$  which is used as a performance measure for the  $t$ -th code vector is the higher the lower a corresponding error energy  $P_{e_t}$  characterizing the code vector  $c_t$  is. The ratio  $C/E$  is used to compare code vectors within said codebook search.

To simplify and accelerate calculation steps required for comparing the ratio  $C_{best}/E_{best}$  of a temporarily best code vector with the ratio  $C_t/E_t$  of the  $t$ -th code vector  $c_t$ , a cross multiplication expression

$$C_t * E_{best} >< E_t * C_{best},$$

which is based on fixed point operations is used for avoiding division operations.

To carry out said comparison and to store indices of code vectors already processed, precalculations yielding said cross multiplication expression for each code vector  $c_t$  - according to the invention - are carried out in parallel by using specifically designed calculation units of a specifically designed digital signal processor (DSP). Postcalculations after performing said comparison are also performed in parallel.

Alternatively, said precalculations and postcalculations can be carried out by a standard DSP which has a plurality of calculation units comprising multipliers and adders.

The corresponding computer program controlling the DSP is optimized with respect to parallelism of calculations.

After obtaining the optimal group code vectors of both codebook groups CB\_0, CB\_1, the optimal group code vectors are compared to each other to get the optimal code vector of the entire codebook CB.

To ensure that the mostly parallel evaluation of the optimal code vector according to the present invention conforms with existing speech coding standards performing this type of vector quantization, the index of the optimal group code vectors is also considered when comparing the optimal group code vectors.

Standardized prior art methods employ a linear search method within the codebook search, starting with index value 0 up to index value 1023 in the present case. Only upon finding a better code vector having a higher performance measure than the presently "best" optimal code vector within this linear search, the presently best code vector is replaced by said better code vector. Otherwise, no changes are applied.

Hence there might be a difference between codebook search results of standardized methods and the method according to the present invention.

To attain absolute conformity with the corresponding standards, the method according to the present invention evaluates said index of the optimal group code vectors and uses the information so obtained for ensuring conformity with the standardized methods.

This is done in case of the above described serial algorithm by preferring the code vector with the smaller index if in a comparison between group code vectors equality regarding said cross multiplication expression occurs.

An additional reduction of execution time is achieved by

generating/evaluating elements of matrices representing a transfer function of at least one filter of said synthesis section SYN, and/or elements of auto-correlation matrices used within said CELP-algorithm, in parallel. A significant decrease of execution time can especially be achieved by parallel processing of the elements of said auto-correlation matrices because these matrices must be cyclically re-calculated.

The signal values of said speech signal  $s$  and of said elements of said auto-correlation matrices are represented by 16 bit data words, and since a 64 bit memory read instruction is provided by the DSP, four signal values located in a memory of said DSP are accessed simultaneously which ensures that even in case of simultaneous evaluation of a plurality of signal values input data is always available.

The DSP also has acceleration means implemented on a hardware basis which are specifically designed to evaluate complex expressions that are to be computed repeatedly within few machine cycles.

The method according to the present invention can also be used with standard DSPs that have a plurality of computing means such as multipliers and adders. In this case, the computer program controlling the speech coding has to be specifically adapted to the available resources of the standard DSP.

The overall acceleration of the codebook search process that can be achieved with the method according to the present invention ranges from about 200 percent to 500 percent, the method at the same time attaining absolute conformity with existing speech coding standards.

With these improvements it is possible to increase the number of communication channels based on CELP CODECs provided within gateways and transcoders for communication networks thus reducing overall costs.

On the other hand, mobile terminals requiring less energy for CELP coding can be implemented.



## Claims

1. Method of coding a signal (s), in particular an audio or speech signal, wherein a codebook (CB) comprising k code vectors ( $c_0, \dots, c_{k-1}$ ) is provided for vector quantization of a signal vector representing a set of signal values of said signal (s), wherein an optimal code vector of said codebook (CB) is determined by performing a codebook search, characterized in that said codebook search is performed in parallel
  - by dividing said codebook (CB) into p codebook groups ( $CB_0, \dots, CB_{p-1}$ ),
  - by simultaneously determining p optimal group code vectors each of which corresponds to one of said p codebook groups ( $CB_0, \dots, CB_{p-1}$ ), and
  - by determining said optimal code vector among said p optimal group code vectors.
2. Method according to claim 1, characterized in that said step of determining said optimal code vector among said p optimal group code vectors comprises evaluating an index of each optimal group code vector uniquely identifying each optimal group code vector within said codebook (CB).
3. Method according to one of the preceding claims, characterized in that said vector quantization is of the shape-gain type.

4. Method according to one of the preceding claims, characterized in that a comparison of code vectors is performed within said codebook search, wherein said comparison is based on a cross multiplication expression

$$C_t * E_{best} >< E_t * C_{best},$$

which is based on fixed point operations and leads exactly to the same result as a standardized serial algorithm, wherein  $C_t$  is a so-called cross term corresponding to a t-th code vector and  $C_{best}$  is the cross term corresponding to a temporarily best code vector, and wherein  $E_t$  is a so-called energy term corresponding to said t-th code vector and  $E_{best}$  is the energy term corresponding to said temporarily best code vector.

5. Method according to one of the preceding claims, wherein said method is based on a code excited linear prediction (CELP-) algorithm comprising a synthesis section, characterized in that elements of a matrix representing a transfer function of at least one filter of said synthesis section, and/or elements of auto-correlation matrices used within said CELP-algorithm and/or further precalculation and postcalculation steps for a/said comparison of code vectors are generated/evaluated in parallel.
6. Method according to one of the preceding claims, characterized in that said codebook (CB) comprises pulse code vectors.

7. Method according to one of the preceding claims, characterized in that a processor with configurable hardware and/or with acceleration means specifically designed for said method is used for parallel execution of steps of said method.
8. Method according to claim 7, characterized in that said processor provides means for simultaneously accessing a plurality of said signal values located in a memory.
9. Method according to one of the claims 1 to 6, characterized in that a standard processor, in particular a digital signal processor (DSP), is used for parallel execution of steps of said method, wherein said steps of said method are optimized regarding calculation means of said standard processor and/or execution time.
10. Processor capable of performing a method according to any of the preceding claims.
11. Coder and decoder (CODEC), in particular speech and/or audio signal CODEC, capable of performing a method according to one of the claims 1 to 9.



08. Aug. 2002

**Abstract**

The present invention relates to a method of coding a signal (s), in particular an audio or speech signal, wherein a codebook (CB) comprising k code vectors is provided for vector quantization of a signal vector representing a set of signal values of said signal (s), and wherein an optimal code vector of said codebook (CB) is determined by performing a codebook search.

Parallelism is employed to accelerate the coding procedure. In particular, the codebook search is highly parallelised.

(Fig. 1)



Fig. 1

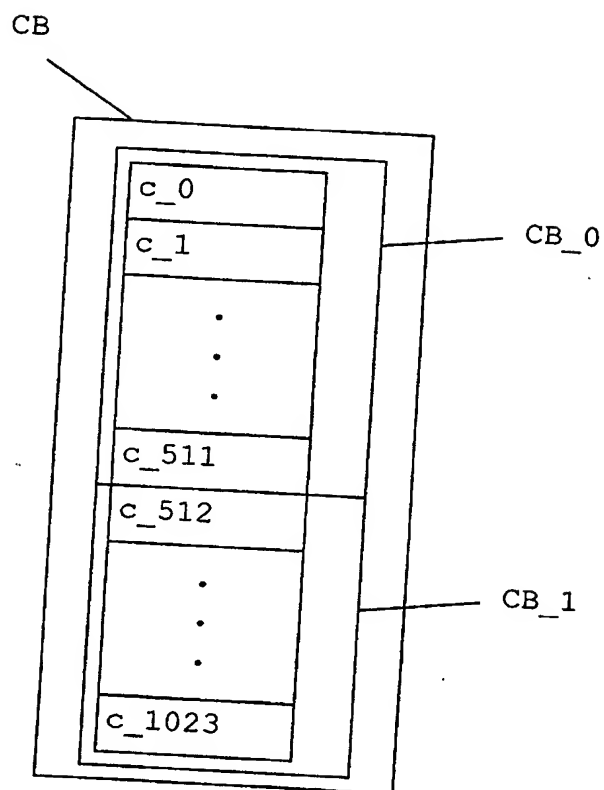


Fig. 2

